

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Botstein et al.)
Appl. No. : 10/033,396)
Filed : December 27, 2001)
For : SECRETED AND)
TRANSMEMBRANE)
POLYPEPTIDES AND)
NUCLEIC ACIDS ENCODING)
THE SAME)
Examiner : Unknown)

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June 10, 2002
(Date)

AnneMarie Kaiser, Reg. No. 37,649

RESPONSE TO NOTICE REQUESTING PROPERTY RIGHTS STATEMENT

Assistant Commissioner for Patents
P.O. Box 2327
Arlington, VA 22202

Attn: LICENSING AND REVIEW

Dear Sir:

Applicants received a Notice mailed April 26, 2002, requesting a Department of Energy
property rights statement under 42 U.S.C. 2182. A copy of the Notice is attached.

The subject matter in the above-referenced invention was not made or conceived in the
course of, or in connection with, or under the terms of any contract, subcontract or arrangement
entered into with or for the benefit of the United States Atomic Energy Commission or its
successors: Energy Research and Development Administration or the Department of Energy.

In addition, the invention was not made (conceived or first actually reduced to practice)
under nor is there any relationship of the invention to the performance of any work under any
contract of the National Aeronautics and Space Administration.

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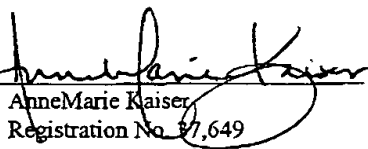
App1. No. : 10/033,396
Filed : December 27, 2001

The inventors conceived this invention while employed by Genentech, Inc. The invention was made during working hours and with the use of facilities, equipment, materials, funds, information, and services of Genentech, Inc. The entire right, title, and interest in said invention is assigned to Genentech, Inc.

The undersigned attorney declares further that all statements made herein of her own knowledge are true and that all statements made on information and belief are believed to be true and further that these statements are made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

Respectfully submitted,
KNOBBE, MARTENS, OLSON & BEAR, LLP

Dated: June 10, 2002

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FROM: Barbara A. Boschen for AnneMarie Kaiser

CLIENT CODE: GNE.2930R1C4

APPLICATION NO.: 10/033,396; FILED: December 27, 2001

TITLE: SECRETED AND TRANSMEMBRANE POLYPEPTIDES AND NUCLEIC ACIDS ENCODING THE SAME

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